

January 2016

Faculty Board,  
Faculty of Business, Economics and Statistics, University of Vienna

### **Rules and regulations for payment of publication premia**

The Faculty board has revised the previous system for paying publication premia in early 2015 after extensive discussions (starting with the Fakultätsklausur on Oct. 3, 2014).

The previous system was established under Dean Sorger and was in effect until 2012. The changes described below become effective in spring 2015 (and concern published research in the calendar year 2014).

The purpose of the publication premia program is to provide employees of our Faculty with incentives to publish in excellent journals in the key fields of our research, i.e. in Business, Economics, Statistics and related fields.<sup>1</sup>

### **Who is entitled to receive publication premia?**

Premia are paid for outstanding success in publishing. In particular, premia are paid for articles published in journals in **Category 1 or 2 in the Faculty Journal List (FJL)**. The FJL is publicly available (e.g. on our webpage).

Only persons who are **employed** by the University of Vienna and are assigned to the Faculty of Business, Economics and Statistics or any of its sub-units **at the time of payment** are entitled to receive premia. For example, someone who has joined the department in October of the previous year is in principle entitled to payment, someone who has left the faculty in October is not.

The employee must have indicated **University of Vienna**, or our Faculty or one of its subunits as an **affiliation** on the publication to be entitled to payment.

Premia are paid only for publications registered in our information system **ucris**.

Premia are **paid in spring** for papers that have appeared **in print** the preceding year. Many authors post their working papers as “preprints” and journals post (sometimes unedited) preprints on their webpages.

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<sup>1</sup> We consider the following JCR fields as “key fields” of our faculty: Business; Business, Finance; Economics; Management; Mathematics, applied; Operations Research & Management Science; Political Science; Psychology, Applied; Psychology, Multidisciplinary; Sociology, Statistics & Probability. Publications in excellent journals outside of these fields of research can also receive premia upon application to the Faculty Research Committee. The default is that a journal that is in the top decile (D1) according to the Article Influence Score in a non-core field of our faculty is rewarded as a Cat. 2 journal.

While an acceptance letter by an editor is typically as good as a printed publication for one's career and promotion, we draw the line where an **issue (and page) number has been assigned** to the article.

The faculty board informs those entitled to receive a premium about the amount to be paid. As a **default**, this amount is paid to an account at the subunit (e.g. Department) where the beneficiary is affiliated. However, employees can **choose** whether to have the premium paid to their private bank account as a taxable salary supplement.

Special rules apply for non-tenured faculty (i.e. anyone with full-time employment at our Faculty, including PhD students). Non-tenured colleagues who have a paper *in print* in the previous calendar year are also rewarded and will be informed along with and according to the same rules as their tenured colleagues. In addition, non-tenured faculty can apply for early payment of forthcoming papers. The reason for this special rule is that non-tenured faculty are more mobile and may have left the Faculty by the time we effectuate the payments for the previous year. To apply, send a mail to [dekanat.wiwi@univie.ac.at](mailto:dekanat.wiwi@univie.ac.at) naming the article for which you apply and by providing **clear evidence** that your paper is forthcoming. A letter by the editor stating that the paper has been accepted counts as clear evidence (but he or she has to say so without any ifs and buts, i.e. we do not pay if the editor says the paper is "conditionally accepted" or is "accepted subject to minor revision". It must be "accepted for publication", plain and simple). Another way to provide the clear evidence is to send us a link to the journal homepage where your paper is listed as forthcoming (in preprint etc.).

### **How much is paid?**

While the Faculty Board strives for continuity in payments, we consider adjusting the amount paid by publication on an annual basis to budgetary conditions. We pay  $x$  Euros for a Cat. 1 publication and  $y$  Euros for a Cat. 2, where  $x > y$ . The exact amounts will be announced by the Dean.

**Payments are adjusted to the number of co-authors.** Every person entitled to receive the premium (see above) is paid  $1/n$  where  $n$  is the total number of authors for the paper. For example, suppose 5 authors have jointly published a paper in a Category 1 journal in 2014, and two of these authors were employees of the Faculty at the time of payment. Then, the two employees receive  $x/5$  Euros each, the other authors (non-employees) do not get any payment.